



IFW  
Docket No: 2685.1009 US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Raymond T. Bartus and Dwaine F. Emerich

Application No.: 10/762,200 Group: 1616

Filed: January 21, 2004 Examiner: Not Assigned

Confirmation No.: 2522

For: PULMONARY DELIVERY IN TREATING DISORDERS OF THE CENTRAL NERVOUS SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
7-16-04	Hollie Wakefield
Date	Signature
Hollie Wakefield	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT FOR UTILITY APPLICATION

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We hereby request that the following items of information be corrected in the Filing Receipt for the subject application received in this office on May 28, 2004.

A copy of a recently filed Declaration for Patent Application is submitted herewith.

The errors and corrections appear below.

Under the Applicants section it reads Dwaine F. Fmerich, and it should read "Dwaine F. Emerich."

- 2 -

Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the Declaration for Patent Application.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

ELMORE CRAIG, P.C.

By

  
Carolyn S. Elmore

Registration No.: 37,567

Tel.: (978) 251-3509

Fax: (978) 251-3973

Chelmsford, MA 01863

Dated:

7/16/4



## UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/762,200	01/21/2004	1610	0.00	2685.1009-US3	19	16	1

000038421  
 ELMORE CRAIG, P.C.  
 209 MAIN STREET  
 N. CHELMSFORD, MA 01863



COPY

CONFIRMATION NO. 2522

FILING RECEIPT



\*OC000000012779828\*

Date Mailed: 05/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Raymond T. Bartus, Sudbury, MA;  
 Dwaine F. Emerich, Cranston, RI; \* Emerich

## Domestic Priority data as claimed by applicant

This application is a CON of 10/441,968 05/20/2003  
 which is a CON of 09/877,734 06/08/2001 PAT 6,613,308  
 which is a CIP of 09/665,252 09/19/2000 PAT 6,514,482

## Foreign Applications

If Required, Foreign Filing License Granted: 05/25/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Pulmonary delivery in treating disorders of the central nervous system

Preliminary Class

424

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).